SEC 7009: Responsible Conduct of Research (RCR)

“The Director shall require that each institution that applies for financial assistance from the Foundation for science and engineering research or education describe in its grant proposal a plan to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduate students, graduate students, and postdoctoral researchers participating in the proposed research project.”

SEC 7009: Responsible Conduct of Research (Cont’d)

- Implementation:
  - Effective January 4, 2010, a proposing institution’s AOR must certify that the institution has a plan to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research.
NSF Resources

- Funded on-line resources
  - NSF Award 0936857, PI: Fountain, University of Massachusetts, Amherst
    http://www.umass.edu/sts/digitallibrary/
  - NSF Award 0936865, PI: Hollander, National Academy of Sciences

- RCR Page on the NSF Policy Website
    - Federal Register Notices
    - FAQs
    - International Research Integrity

SEC 7010: Reporting of Research Results

- Section 7010 requires that all final project reports and citations of published research documents resulting from research funded, in whole or in part, by the Foundation, are made available to the public in a timely manner and in electronic form through the Foundation's Website.
SEC 7010: Reporting of Research Results (Cont’d)

- Effective January 4, 2010, new awards and funding increments to existing awards include the new requirement.
- The new report will be prepared and submitted via Research.gov and requires PIs to prepare a summary – specifically for the public – on the nature and outcomes of the award.
  - New capability available on August 20!
- PIs, Co-PIs, and SPOs are notified that an award is due via:
  - Research.gov Project Outcomes Report Dashboard (PIs, Co-PIs)
  - FastLane Project Reports system
  - Due and Overdue email notifications
How PIs will submit PORs

1a. Via R.gov
Log directly into Research.gov

1b. Via FastLane
Log into FastLane, view notification, and redirect to R.gov

1a. Via Email Notification
Receive email notification and redirect to R.gov

PIs and Co-PIs receive notification that they have a report due

2. Login to Research.gov

PIs and Co-PIs log into Research.gov...

3. View the Homepage
From the Research.gov Homepage, PIs and Co-PIs can:
See the Project Outcomes Report Dashboard on the homepage
See the number and status of required reports that are due, overdue, submitted, and not yet due
Click on link to view, prepare, and submit reports

View the status of upcoming, due, and submitted reports

4a. Submit a Report
PIs and Co-PIs complete and submit their report

4b. Add Addenda to an Existing Report
To modify the report or update the record of an award’s outcomes, PIs and Co-PIs can add unlimited addenda to a report.

Quickly and easily fulfill reporting requirements using the customer friendly Project Outcomes Reporting tool.
Coming Soon!

- An updated version of the NSF Proposal & Award Policies & Procedures Guide
- Release, October 2010
  - Anticipated Major Changes Include:
    - Data Management Plans
    - Cost Sharing
    - Project/Performance Site Location