# NSF Implementation of the Research Performance Progress Report

Research.gov Webinar

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### Ask Early, Ask Often



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## Today's Agenda



- \* Research.gov Introduction and Background
- \* Research Performance Progress Report Overview
- NSF Implementation of the RPPR
  - Components
- ★ Key Differences
- ★ Implementation Dates
- ★ NSF Implementation and Pilot
- ★ Discover More





# Research.gov is led by the National Science Foundation



#### **★NSF** is:

- The funding source for approximately 20 percent of all federally supported basic research conducted by America's colleges and universities
- Tasked with keeping the United States at the leading edge of discovery in areas from astronomy to geology to zoology



## Research.gov provides easy access to research-related information and services





# Researchers can do business with NSF through a suite of services





#### Government-wide grantees

- Find grant opportunities and submit proposals
- Apply for grants



#### **NSF** Grantees

• Find grant opportunities and submit proposals



#### Research Grantees

- Support the research community with a modern technology platform
- Submit financial and project reports

Research.gov is the modernization of FastLane, providing the next generation of grants management capabilities for the research community

## Research.gov offers grants management services...



- ★ Access Services
  - InCommon
  - Single Sign-on with FastLane
- ★ Reporting Services
  - Project Outcomes Report
  - Annual, Final, and Interim Reports (Coming Soon)
- ★ Financial Services
  - The Federal Financial Report
  - Financial Services
  - Award Cash Management \$ervice (Coming Soon)
- ★ Application Services
  - Grants Application Status
  - Application Submission Web Services



## ...and public facing services



- ★ Research Spending & Results
- ★ Policy Library
- **★**SEE Innovation
- ★ Research Headlines & Events







### RPPR Background



- ★ The Research Performance Progress Report (RPPR) is the result of a government-wide effort to create greater consistency in the administration of federal research awards by streamlining and standardizing reporting formats
  - The RPPR is the product of Research Business Models (RBM)
     Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC)
  - Upon implementation, the RPPR will be used by federal agencies that support research and research-related activities.
  - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

#### RPPR Website

#### http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp



#### Research Performance Progress Report (RPPR)

The RPPR resulted from an initiative of the Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC). One of the RBM Subcommittee's priority areas is to create greater consistency in the administration of Federal research awards. Given the increasing complexity of interdisciplinary and interagency research, it is important for Federal agencies to manage awards in a similar fashion. Upon implementation, the RPPR will be used by agencies that support research and research-related activities for use in submission of interim progress reports. It is intended to replace other interim performance reporting formats currently in use by agencies. The RPPR does not change the performance reporting requirements specified in 2 CFR part 215 (OMB Circular A-110) and the Common Rule implementing OMB Circular A-102.

Each category in the RPPR is a separate reporting component. Agencies will direct recipients to report on the one mandatory component ("Accomplishments"), and also may direct them to report on optional components, as appropriate. Within a particular component, agencies may direct recipients to complete only specific questions, as not all questions within a given component may be relevant to all agencies. Agencies may develop an agency- or program-specific component, if necessary, to meet programmatic requirements, although agencies should minimize the degree to which they supplement the standard components. Such agency- or program specific requirements will require review and clearance by OMB. Agencies also may use other OMB approved reporting formats, such as the Performance Progress Report (PPR), if those formats are better suited to the agency's reporting requirements, for example, for research centers/institutes, clinical trials, or fellowship/training awards or in connection to reporting on program performance.

#### OSTP/OMB Policy Letter

#### Agency RPPR Implementation Plans

DHHS/NIH (and Other PHS Agencies)
January 2012 update
DHS
DOC
DOD
DOE
DOE
DoEd/Institute of Education Sciences
DOJ
Office of Justice Programs
National Institute of Justice/Office of Justice Programs
EPA

### NSF Implementation



- NSF will offer a new project reporting service on Research.gov which implements the RPPR format, replacing NSF's annual, final, and interim project reporting capabilities in the FastLane System
  - One of the key drivers in development of the project reporting service is to improve the user experience
  - Another key driver is to incorporate more structured collection of the project reports data for enhanced NSF use
  - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

#### Report Components



- ★ Mandatory Category:
  - Accomplishments: What was done? What was learned?
- ★ Optional Categories:
  - Products: What has the project produced?
  - Participants & Other Collaborating Organizations: Who has been involved?
  - Impact: What is the impact of the project? How has it contributed?
  - Changes/Problems
  - Special Reporting Requirements (where applicable)
  - Appendix 1: Demographic Information for Significant Contributors

## Key Differences of the New Project Report System



- ★ Project reporting dashboard
- ★ Structured collection of data
- \* Rich text editor
- ★ PDF upload to support images, charts, and other complex graphics
- ★ Improved citation search through Thomson Web of Science
- Special reporting requirements are controlled by solicitation
- ★ PI no longer provides demographic information on significant participants

### **Key Implementation Dates**



- ★ Project Report Pilot currently underway with 19 research organizations submitting project reports on Research.gov
- ★ Final Target Launch Date: March 18, 2013
  - All NSF awards and institutions
  - ★ NSF awardees must stop submitting project reports in FastLane starting on February 1, 2013
  - Program Officers must approve all submitted reports by March 15,
     5 PM EST

#### **Detailed Timeline and Activities**



October 2012	November 2012	February 2013	March 2013
Pilot Phase 1	Pilot Phase 2	Full FastLane FREEZE	Full Operations
6 Pilot Institutions	Expand to 25 additional Pilot institutions	Institute NSF-wide freeze on new project reporting in FastLane	All institutions migrated to Research.gov
<ul> <li>Communication with affected PIs and Institutions</li> <li>FastLane freeze for 6 pilot institutions</li> <li>POs approve all pending FastLane project reports for 6 pilot institutions</li> <li>Overdue dates will be extended to 3/15 for all reports due between 9/15-12/3</li> </ul>	<ul> <li>Communication with affected PIs and Institutions</li> <li>FastLane freeze for 25 additional pilot institutions</li> <li>POs approve pending FastLane project reports for 25 pilot institutions</li> <li>Due dates extended to 1/21 for all reports due 12/4-1/21 with overdue date of 4/30</li> </ul>	<ul> <li>Provide regular reports to Divisions with status of pending reports</li> <li>POs approve all pending FastLane project reports by March 15</li> <li>Overdue dates will be extended by 45 days for reports overdue between 1/31-3/31 and by 30 days for reports overdue between 4/1 -4/30</li> </ul>	<ul> <li>Turn off FastLane and migrate all users to Research.gov</li> <li>Resume regular project report review and approval activities</li> </ul>







- ★ Login with FastLane User ID and password
- Access Project Report Dashboard or navigation

### Annual, Final, and Interim Project Reports: PI View





#### Project Report Entry: Pl View





# Project Report: Pl View Search Products/Journals



Cover	complishments Products Participants Imp			
Products - What has the project produced?  < Back to Publication Entries				
Search Publications:				
Enter search criteria to search Thomson Reuters Web of Science (R) to required 'Author' field) will help to speed up your search.				
* Required field	ls			
Type:	Journal			
* Author(s):				
Title:				
Journal:				
Conference:				
Time Span:	Select One			
	Search			
< Back to Publication Entries				

#### ★ Products:

- Publications
- Technologies or techniques
- Inventions, patent applications, and/or licenses
- Websites
- Other Products
- ★ Add a publication citation from Thomson Web of Science

## Project Report: Pl View Upload PDF File

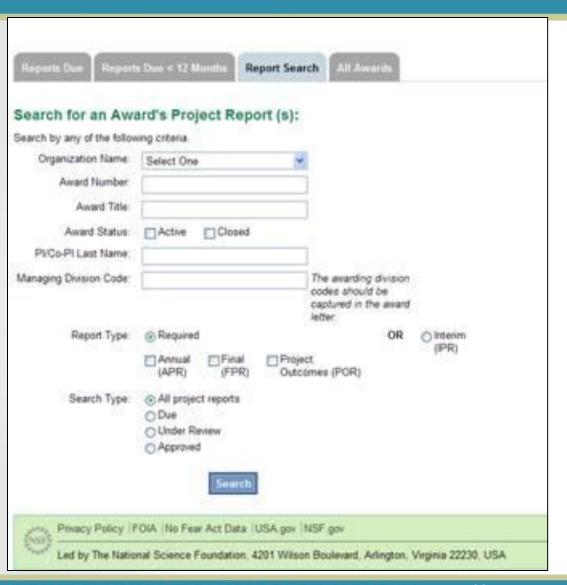


Supporting Files		
You may upload pdf files with images, tables, charts, or other graphics MB each.		
Browse Please select a file.		
Description (required if uploading a file)		
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Upload File		
Back to the top		

- ★ PDF files can be uploaded for:
  - Accomplishments
  - Products
  - Special Requirements

# Project Report: SPO View Search Project Reports





- SPOs can search for a list of reports by:
  - Organization Name
  - Award Number
  - Award Title
  - Award Status
  - PI/co-PI Last Name
  - Managing Division
  - Report Type
  - Search Type





#### How Can I Get More Information?



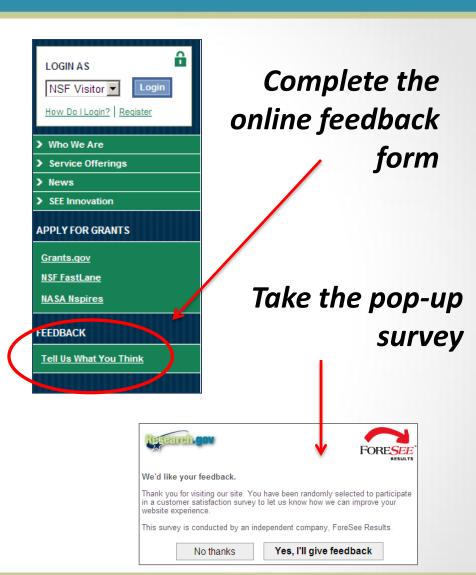
- ★ Research.gov Events (conference participations and webinars listed)
  - Research.gov Events Page
- **★ Research.gov Website:** Project Report Info Page

- ★ Research.gov Help Desk
  - Rgov@nsf.gov or 1-800-381-1532

### Provide feedback on Research.gov



- Your feedback will help Research.gov prioritize and enhance services
  - Take our ForeSee pop-up survey
  - Complete online feedback form
  - Questions or comments?
     Email us at feedback@research.gov



### Questions





### Appendix: Pilot Phase I Organizations



- Appalachian State University
- College of Charleston
- Cornell University
- Hampton University
- Indiana University
- Refactored Materials

### Appendix: Pilot Phase 2 Organizations



- Arizona State University
- Illinois Institute of Technology
- Ohio StateUniversity
- University of Georgia
   Research Foundation
   Inc
- SyracuseUniversity
- University of California-Irvine
- University of California-Los
   Angeles

- Georgia Southern University
- Georgia Southern University
   Research Foundation
- Northern IllinoisUniversity
- University of Illinois at Chicago
- University of Maine Machias
- University of Illinois at Urbana-Champaign