NIH RPPR Training Webinar for Grantees

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National Institutes of Health

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Speakers

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National Institutes of Health
Research Performance Progress Report

Background

- Research Business Models Subcommittee effort, began 2004
- OMB RPPR Final Format
  - April 2010 directive to agencies to implement
  - Standardizes information required by agencies
  - Reduces administrative burden and costs
  - Eases comparison of outcomes across agencies
- NIH Implementation Plan
  - Electronic format only
  - Replaces PHS 2590, including use of eSNAP module, and 416-9 for Fellowships
  - AHRQ, FDA and CDC partners will adopt

NIH RPPR TIMELINE

- RPPR Pilot with 7 grantee institutions begins
- All FDP institutions given early access to RPPR module
- Use of RPPR is OPTIONAL
- Progress Report Additional Materials (PRAM) functionality available
- NIH mandates use of RPPR for all SNAP and F awards
- RPPR for non SNAP awards TBD

APRIL 2012  JUNE 2012  AUGUST 2012  OCTOBER 2012  JANUARY 2013  April 2013
7 Types of RPPR: Examples of Differences

<table>
<thead>
<tr>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>R01-like</td>
<td>• Standard RPG&lt;br&gt;• Basis for development of other types</td>
</tr>
<tr>
<td>Individual CDA</td>
<td>• Mentor report&lt;br&gt;• RCR reporting</td>
</tr>
<tr>
<td>Training</td>
<td>• Trainee Diversity Report&lt;br&gt;• RCR reporting&lt;br&gt;• Tables 12A/B &amp; program statistics, if applicable</td>
</tr>
<tr>
<td>Fellowship</td>
<td>• Sponsor comments&lt;br&gt;• RCR reporting</td>
</tr>
<tr>
<td>Education (e.g., R13, R15, T36)</td>
<td>• No reporting on technology or invention products, resource sharing, impact on infrastructure, tech transfer</td>
</tr>
<tr>
<td>SBIR/STTR</td>
<td>• Report on technology transfer, product development status, and commercialization plan</td>
</tr>
<tr>
<td>Complex</td>
<td>• Parent reporting similar to R01-like&lt;br&gt;• Project/core (s) reporting on accomplishments, technologies, products, resource sharing, publications, hESCs, human subjects</td>
</tr>
</tbody>
</table>

RPPRs Available Now

<table>
<thead>
<tr>
<th>Type</th>
<th>Activity Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>R01-like SNAPs</td>
<td>D71, DP1, DP5, G08, G11, G13, P40, R00, R01, R03, R18, R21, R33, R34, R36, R37, R56, RC1, RC2, RL1, S10, S21, S22, SC1, SC2, SC3, UB1, UC2, UH1, UH2, UH3, UP5, P01, P20, P30, P40, P41, P50, PL1, R24, S11, U01, U10, U19, U24, U34, U54</td>
</tr>
<tr>
<td>Individual K SNAPs</td>
<td>K01, K02, K05, K06, K07, K08, K18, K22, K23, K24, K25, K26, K99, KL1</td>
</tr>
<tr>
<td>Education SNAPs</td>
<td>D43, K30, R13, R25, RL5, T14, T36, U13, U2R</td>
</tr>
<tr>
<td>Fellowships</td>
<td>F05, F30, F31, F32, F33, F34, F37</td>
</tr>
<tr>
<td>SBIR/STTR SNAPs</td>
<td>R41, R42, R43, R44, U43, U44</td>
</tr>
</tbody>
</table>
RPPR Structure

A. Cover Page
B. Accomplishments
C. Products
D. Participants
E. Impact
F. Changes
G. Special (NIH) Reporting Requirements
H. Budget – SF424 (R&R) forms
LIVE DEMO OF RPPR in eRA Commons
### Dynamic Display

**R01-like award**

<table>
<thead>
<tr>
<th>G.2 Not Applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td>G.3 Not Applicable</td>
</tr>
</tbody>
</table>

**G.4 Human Subjects**

- **G.4.a** Does the project involve human subjects?  
  - [ ] Yes  
  - [ ] No

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### Dynamic Display

**Fellowship Award**

**G.2 Responsible Conduct of Research**

Describe the responsible conduct of research instruction received (or instruction given as a course director, discussion leader, etc.) in the case of senior fellows or senior career investigators. Identify the dates of occurrence, and instances when instruction appropriate to career stage should be discussed. Address the five components: Format, Subject Matter, Faculty Participation, Duration, and Frequency.

**G.3 Sponsor Comments**

Provide a letter signed by the sponsor, in PDF format, assessing the quality of the research training (including academic work) and research progress made by the Fellow during the period.

**G.4 Human Subjects**
Progress Report Additional Materials - PRAM

Status Result - List of Applications/Grants

Notes & Tips:
- **Important:** This PRAM provides the ‘Just-In-Time’ link in the Commons for applications receiving a percentile of less than 30 or for applications receiving a percentile score of between 30 and 50 if the percentile is provided. Please avoid submitting from the BN or whether to complete the information.

The following list of applications/grants represents a selection of the search by Grant Tracking # for a complete list of all your applications/grants. If you do not see a complete list of your applications/grants, please click List of applications/grants main list icon.

<table>
<thead>
<tr>
<th>Application ID</th>
<th>Title</th>
<th>Status</th>
<th>Final Submission Date</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>123456</td>
<td>A New Model for the Delivery of Child Care</td>
<td>Pending</td>
<td>09/30/2012</td>
<td>Complete</td>
</tr>
<tr>
<td>789012</td>
<td>Crime Prevention and the Effects on Mental Health</td>
<td>Complete</td>
<td>08/25/2012</td>
<td>Approved</td>
</tr>
<tr>
<td>345678</td>
<td>The Role of Courage and Other Key Elements</td>
<td>Complete</td>
<td>08/30/2012</td>
<td>Approved</td>
</tr>
</tbody>
</table>

PRAM

Progress Report Additional Materials (PRAM)

Status Information

Instructions

Test Entry
Summary of PRAM

- Link opened automatically when RPPR submitted with non-compliant publications
- Access PRAM link from Status Screen
- Allows for text entry of 2,000 characters
- Route and submit functionality are identical to functionality for RPPR
  - Certification text when forwarding or submitting
  - Must be submitted by SO or PD/PI with submit authority

Summary of New Information Requested

- Competitive revisions/administrative supplements
  - Specific location to report aims & accomplishments (B.3)
- Foreign Collaborations:
  - Individual affiliation with foreign organization (D.1)
  - Dollar amount of award spent in foreign country (E.4)
  - Information about foreign components (G.9)
- Participants – role on project
  - New role-High School Student; slight rewording of other roles (D.1)
- Level of Effort
  - Prior approval request for reduction of >25% for PD/PI or other individual designated on NoA (D.2.a)
- Estimated unobligated balance
  - Provide estimated amount and description of how funds will be spent (G.10)
Points to Remember

- Grantees are encouraged to use and become familiar with the RPPR
- Once initiated, the format selected (RPPR or eSNAP) must be completed or user must contact eRA HelpDesk to change format
- System checks for errors prior to submission; user cannot submit with errors
- Only warning: publications non-compliant with Public Access Policy
  - system will generate eNotification
  - response to eNotification may via PRAM link

Points to Remember (continued)

- Special characters not permitted in text boxes
  - will not display properly in final PDF
- Disregard items marked “Not Applicable”
- Round person months to nearest whole number
- Follow instructions, e.g., only report publications under C.1 Publications
- Fellows are often unfamiliar with eRA Commons modules and MyNCBI
## Information and Resources

- Background Information
- RPPR Instruction Guide
- Screen shots
- Archive of this training webinar
- Relevant Guide Notices
- Learn how the RPPR differs from eSNAP

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## RPPR Contacts

- **System Issues**
  - eRA Help Desk
    - 1-866-504-9552
    - commons@od.nih.gov

- **Content Questions or Comments**
  - Email Emily Linde and Carol Wigglesworth
    - lindee@mail.nih.gov
    - wigglesc@od.nih.gov